

National Institute of Certified Estate Planners – CEP® Enrollment Application

PLEASE PRINT LEGIBLY OR USE PDF FILL-IN FORMAT.

NAME:	E-MAIL:
COMPANY:	WEBSITE:
STREET ADDRESS	PHONE:
CITY/STATE/ZIP:	MOBILE: TEXT ALERTS?
	FAX:
	DOB: SS# LAST 4:
BROKER/DEALER:	CURRENT LICENSES AND OTHER CERTIFICATONS/DESIGNATIONS:

By signing below and completing the payment portion of this application, I understand and agree to the following:

The Certified Estate Planner™ (CEP®) certification is a trademark registered with the United States Patent and Trademark Office and is owned and conveyed solely by the National Institute of Certified Estate Planners (NICEP), Inc. This mark is awarded to individuals who successfully complete the NICEP certification requirements and who also comply with the NICEP annual maintenance requirements. The course materials presented in the Certified Estate Planner™ program are owned and copy-written by the NICEP, and may not be copied, loaned, or reproduced in any fashion without the expressed written permission of the NICEP, and then only for personal business applications. The information presented in the course is intended to educate the applicant to the various issues, possible problems, and creative solutions that exist in estate planning. It is not presented for the purpose of giving financial, legal or tax advice, and no one should take any action upon anything contained in the materials until they first discuss their individual fact situation with an attorney licensed in their state who is in good standing with their state's Supreme Court and who is knowledgeable of their state's law.

PRE-REQUISITES - Applicants for this course must hold a valid current license in either the financial, legal, or tax profession, or receive permission for enrollment based on some other relevant professional interest.

EARNING THE CEP® CERTIFICATION - The CEP® certification is awarded only after:

1. the application process has been completed with full payment,
2. the corresponding educational component has been satisfied, and
3. the qualifying examination has been successfully passed.

APPLICATION & PAYMENT - The completed enrollment application must be accompanied by an enrollment fee in the amount of \$1695 less any applicable discounts. The enrollment fee includes the cost of the course, materials, and exam. Candidates may request an enrollment fee payment plan if necessary, however a substantial deposit will be required and the CEP® certification will not be awarded until full payment has been made. Unless other arrangements have been made, the entire enrollment fee is to be fully paid before any course materials are delivered and in advance of any participation in course training. A combined CEP®/MCEP® enrollment discount is also available below.

ENROLLMENT FEES ARE NON-REFUNDABLE - Before you enroll, understand that our proprietary course materials are delivered electronically within 24 hours of enrollment. Therefore, once an enrollment has been processed there shall be no refund of any enrollment fees paid.

EDUCATIONAL COMPONENT - The educational component is completed through a combination of:

1. reading and understanding significant self study materials (approximately 800 pages composed of eight topical modules plus appendix materials provided in PDF format via hyperlink), and
2. participation in and/or review of an interactive discussion of the course highlights done either online or live (approximately 16 hours of PowerPoint, diagramming, case studies, and Q & A).

Candidates spend an average 5 - 6 months of combined study and preparation of the above before sitting for the proctored qualifying examination.

QUALIFYING EXAMINATION - Successful completion of the CEP® certification program requires that each candidate score a minimum of 70% on a proctored closed book examination. The qualifying exam is very challenging, worded in such a way that the student must have a competent grasp of the subject matter. When necessary there is a \$10 retest fee. No qualifying exam shall be given more than three times. Candidates have one year from their enrollment to complete the program.

MAINTAINING THE CEP® CERTIFICATION - Certificants must renew their use of the Certified Estate Planner™ (CEP®) certification on each anniversary of their award date based on the NICEP's most current qualifications. The ongoing use of the CEP® certification is subject to three criteria:

1. Submission of a certification renewal fee of either a) \$235 annually, or b) \$49/quarter by **automatic** recurring quarterly debit/charge. Fees are reduced for the quarterly subscription as an incentive to elect that option. Automatic recurring billing subscriptions have substantially proven to provide against unintended certification lapsing, and the lower fees are only available with the quarterly automatic recurring subscription. **New Graduates** – If enrollment was paid at full price (\$1695) the annual fee for the use of the CEP® certification in the first anniversary year is included. If enrollment fee is discounted, payment of certification fees will commence the next calendar quarter following the certification award date.
2. Completion of the NICEP continuing education requirement of a minimum of eight (8) hours every two (2) years in the area of estate planning.
3. Adherence to the NICEP professional code of ethics which requires model business behavior, compliance with State and Federal licensing authorities, compliance with represented professional companies and organizations, proper notification procedures, and submission to the findings and rulings of the NICEP with regard to the continued use of any certification which is conferred by the NICEP.

The NICEP reserves the right to deny, suspend, or revoke the use of the trademarked CEP® certification for any reason. Certificants not in good standing with the NICEP may not display or otherwise use in any way the CEP® certification, certificate, logo, or associated material.

Applicants must submit a written explanation if any of the following four items is true within the last ten years:

- You have been convicted of any felony or business-related misdemeanor, or you are currently named as a defendant, respondent, or party to any such criminal or civil action.
- You have been or you are currently a defendant or respondent to a consumer complaint or allegation.
- You have had a state or federally regulated license revoked, restricted, or terminated for cause, including being denied or enjoined from selling or dealing in securities or from functioning as an Investment Advisor.
- You have been or you are currently the subject of an investigation, inquiry, or complaint by a state or federal regulatory agency, or any other agency, alleging violations of ethical conduct, prohibited sales practice, or breach of professional standards.

Any material misrepresentation of facts or information given in this or subsequent application for renewal will be cause for denial or immediate revocation of the CEP® certification and all its privileges, without refund of any dues or fees paid.

ENROLL ME IN THE: CEP® COURSE (\$1695) CEP®/MCEP® COURSES CONSECUTIVELY (~~\$3390~~ \$2695) _____ DISCOUNT CODE _____

PAYMENT: CHECK ENCLOSED | CHARGE _____ / _____ | ACH DRAFT _____ / _____
CC# _____ EXP xx/xx _____ RTG # _____ ACCT # _____

BILLING ADDRESS (IF DIFFERENT FROM ABOVE) _____

SIGNATURE REQUIRED _____ DATE _____

Submit with payment by either: Toll-Free Fax: 888-220-3533 / E-Mail: info@nicep.org / Mail: NICEP, 2125 Zartman Rd, Kokomo, IN 46902